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Commissioner for Patents  
Washington, D.C. 20231  
on May 16, 2002

Date of Deposit

Gregory H. Zayia - Reg. No. 48,059

Name of applicant, assignee or  
Registered Representative

*Gregory Zayia*

Signature

*May 16, 2002*

Date of Signature



#5  
03-17-04  
CW

Our Case No.: 9683/110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Seiji Nishikawa et al.

Serial No.: 10/070,400

Filing Date: March 1, 2002

For: Apparatus and Method for  
Displaying Information Relating  
Business Schedules

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents (A1-A4) listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are

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**GROUP 3600**

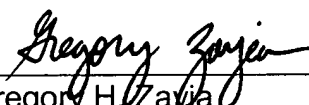
enclosed for the convenience of the Examiner. A copy of the International Search Report is also enclosed.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this material, the Commissioner is hereby authorized to deduct the fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
Gregory H. Zavala  
Registration No. 48,059  
Agent for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200

1002 Rec'd PCT/PTO 20 MAY 2002

PCT  
AUG 07 2002

| TRANSMITTAL LETTER  |                              |                            | Case No. 9683/110                |
|---|------------------------------|----------------------------|----------------------------------|
| Serial No.<br>10/070,400  | Filing Date<br>March 1, 2002 | Examiner<br>To Be Assigned | Group Art Unit<br>To Be Assigned |
| Inventor(s)<br>Seiji Nishikawa et al.   |                              |                            |                                  |
| Title of Invention<br>Apparatus and Method for Displaying Information Relating Business Schedules |                              |                            |                                  |

O I P E  
MAY 20 2002  
PATENT & TRADEMARK OFFICE

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Information Disclosure Statement (in duplicate); Form PTO-1449; Four (4) Cited References (A1-A4); Four (4) Translations of References (A1-A4); Copy of International Search Report; and Return Postcard.

#3  
08/09/02

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total                                     |                                  | Minus |                                 |               |
| Indep.                                    |                                  | Minus |                                 |               |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               |

| Small Entity    |           |
|-----------------|-----------|
| Rate            | Add'l Fee |
| x \$9 =         |           |
| x 42 =          |           |
| + \$140 =       |           |
| Total add'l fee | \$        |

| Other Than Small Entity |           |
|-------------------------|-----------|
| Rate                    | Add'l Fee |
| x \$18 =                |           |
| x \$84 =                |           |
| + \$280 =               |           |
| Total add'l fee         | \$        |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.



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PATENT TRADEMARK OFFICE

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

Respectfully submitted,

*Gregory H. Zayia*  
\_\_\_\_\_  
Gregory H. Zayia  
Registration No. 48,099  
Agent for Applicants

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 16, 2002.

Date:

May 16, 2002

Signature:

*Gregory H. Zayia*

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|   |                                      |                                  |
|---|--------------------------------------|----------------------------------|
| FORM PTO-1449   | SERIAL NO.<br>10/070,400             | CASE NO.<br>9683/110             |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>March 1, 2002         | GROUP ART UNIT<br>To Be Assigned |
| (use several sheets if necessary)   | APPLICANT(S): Seiji Nishikawa et al. |                                  |

#### FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION |    |
|---------------------|----|--------------------|------------|---------|--------------------|-------------|----|
|                     |    |                    |            |         |                    | YES         | NO |
|                     | A1 | 9-44567            | 02/14/1997 | Japan   |                    | X           |    |
|                     | A2 | 9-73492            | 03/18/1997 | Japan   |                    | X           |    |
|                     | A3 | 2000-48072         | 02/18/2000 | Japan   |                    | X           |    |
|                     | A4 | 11-272777          | 10/08/1999 | Japan   |                    | X           |    |



|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.